**Data Mining 2: Frequent Pattern Mining** Summer 2017
Christian Borgelt from 23.05.2017

## Exercise Sheet 2

**Exercise 9** Searching for Frequent Patterns

a) How can we actually define unique parent item sets (concrete method)?
Are there alternatives? How do unique parents help in the search?

b) After assigning unique parent item sets: In how many ways can we generate item set of size $k + 1$ from item sets of size $k$?

c) What is the general scheme of searching with unique parents?

**Exercise 10** Canonical Form of Item Sets

a) What is a canonical form of an item set? How can it be defined?
Is this concept actually needed for frequent item set mining?
Why did we look at canonical forms for item sets anyway?

b) What is the prefix property? How is it stated (two versions)?
What advantage results from the prefix property?

c) Describe how the recursive search for frequent patterns can be simplified if the chosen canonical form has the prefix property!

d) The prefix property is a necessary condition to ensure that the search by appending items to canonical code words is exhaustive. What is needed in addition to make it sufficient?

e) What is a canonical extension rule?
Is there a canonical extension rule for frequent item set mining?

**Exercise 11** Apriori Algorithm

a) Execute the Apriori algorithm (using the pseudo-code from the slides) for the transaction database shown on the right and a minimum support of $s_{\min} = 3$!

b) Execute the Apriori algorithm again, using the organization of the counters as a prefix tree!

| | |
|---|---|
| $a\ d\ f$ | $a\ b\ d$ |
| $a\ c\ d\ e$ | $b\ d\ e$ |
| $b\ d$ | $b\ c\ e\ g$ |
| $b\ c\ d$ | $c\ d\ f$ |
| $b\ c$ | $a\ b\ d$ |

c) How is support counted in the (pseudo-code) Apriori algorithm?
What are the advantages/disadvantages of this counting scheme?
How is it counted if the support counters are organized as a prefix tree?
(Hint: Consider subset tests versus generating and finding subsets.)

d) What are the advantages of organizing the transactions as a prefix tree?
(Hint: What redundant work can be avoided by this scheme?)

(Attention: Do not confuse the prefix tree for organizing the support counters with the prefix tree that is used to represent the transaction database!)

**Exercise 12** Divide-and-Conquer Search

a) How can the search for frequent item sets be described as a divide-and-conquer algorithm? How does this give rise to a recursive search scheme?

b) How can the divide-and-conquer scheme be described formally as a set of subproblems? How is each subproblem described?

c) How is a subproblem processed? Especially, how are the elements of the subproblems it is split into derived from the subproblem that is processed?

d) Why are the two transaction databases that are needed for the two subproblems of a split *not* complementary?

**Exercise 13** Perfect Extensions

a) What is a perfect extension? How is it defined formally?

b) Let $a$ and $b$ be perfect extensions of an item set $I$ and let $c \notin I$ be some other item (not a perfect extension).
Is $a$ a perfect extension of $I \cup \{b\}$? Is $a$ a perfect extension of $I \cup \{c\}$?
Is $b$ a perfect extension of $I \cup \{a\}$? Is $b$ a perfect extension of $I \cup \{c\}$?
What can be seen generally from the answers to the above questions?

c) If in the divide-and-conquer scheme the split item is a perfect extension, how are the solutions of the two subproblems related to each other? Why are they related in this way? (Hint: Consider the respective transaction databases.)

d) Based on this insight, how can perfect extensions be used to simplify the divide-and-conquer search? (Hint: Does one always have to solve both subproblems?)

**Exercise 14** Transaction Database Representation

a) Construct horizontal and vertical representations of the matrix

| | $a$ | $b$ | $c$ | $d$ | $e$ | $f$ | $g$ |
|---|---|---|---|---|---|---|---|
| 1: | 1 | 0 | 0 | 1 | 0 | 1 | 0 |
| 2: | 1 | 0 | 1 | 1 | 1 | 0 | 0 |
| 3: | 0 | 1 | 0 | 1 | 0 | 0 | 0 |
| 4: | 0 | 1 | 1 | 1 | 0 | 0 | 0 |
| 5: | 0 | 1 | 1 | 0 | 0 | 0 | 0 |
| 6: | 1 | 1 | 0 | 1 | 0 | 0 | 0 |
| 7: | 0 | 1 | 0 | 1 | 1 | 0 | 0 |
| 8: | 0 | 1 | 1 | 0 | 1 | 0 | 1 |
| 9: | 0 | 0 | 1 | 1 | 0 | 1 | 0 |
| 10: | 1 | 1 | 0 | 1 | 0 | 0 | 0 |

b) Can one execute the Apriori algorithm with a vertical transaction representation?

c) Can one use a horizontal transaction representation in the divide-and-conquer approach to frequent item set mining?

d) Characterize a prefix tree representation of a transaction database in terms of horizontal/vertical representations!